

Docket No. GMU-16U



DAC  
PATENT #4

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Ravi SANDHU et al.

Serial No. 09/451,090

Group Art Unit: Unknown

Filed: November 30, 1999

Examiner:

For: SYSTEM AND APARATUS FOR STOARAGE AND TRANSFER OF SECURE DATA  
ON WEB

RECEIVED

APR 05 2001

OFFICE OF PETITIONS

PETITION TO REVIVE UNINTENTIONALLY ABANDONED  
APPLICATION under 37 C.F.R. § 1.137(B)

Attention: Office of Petitions

Honorable Assistant Commissioner of Patents and Trademarks

Washington, D.C. 20231

Sir:

This petition is in response to an Abandonment/Termination Letter having Confirmation No. 8582 and dated March 1, 2001.

The applicant failed to respond to a "Notice to File Missing Parts of Application" dated January 20, 2000. At that time, the "Office of Sponsored Programs" at George Mason University, who was prosecuting the patent application moved their office. The applicant believes that the "Notice to File Missing Parts of Application" must have gotten lost by the university mail system in that move. In addition, responsibility for prosecuting the patent application has since moved to the "Office of Technology Transfer" at George Mason University where a different representative has been assigned to handle this matter. As a result of these changes, the patent application became unintentionally abandoned. Since receiving the Abandonment/Termination Letter, the applicant has been diligent in contacting

620.00 op

04/04/2001 CRUYEN 09/451,090  
01 FC:241

USPTO representatives to understand what has happened, how to reply, and obtaining the necessary parts for submission. Accordingly, the entire delay in filing the required reply (payment of fee's and submission of a declaration) from the due date for reply until the filing of a grantable petition pursuant of 37 CFR 1.137(b) was unintentional. Applicants respectfully petition for the revival of the above-identified application.

A petition for an extension of time under 37 C.F.R. 1.136 is hereby made. Attached with this petition is:

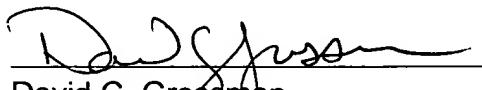
1. Copy of the Abandonment/Termination Letter, Confirmation No. 8582
2. Copy of "Notice to File Missing Parts"
3. Signed Declaration for Utility Patent Application (Form PTO/SB/01)
4. Fee Transmittal (Form: PTO/SB/17)
5. Credit Card payment Form (Form: PTO-2038)

Respectfully submitted,

David G. Grossman

Dated: 4-3-2001

By:

  
\_\_\_\_\_  
David G. Grossman  
Registration No. 42,609

Office of Technology Transfer  
George Mason University  
4400 University Drive  
MSN 5G5  
Fairfax, VA 22030

Date: April 3, 2001

Tel: 703-481-3360  
Fax: 703-993-8871



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

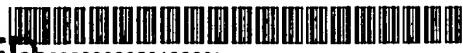
APPLICATION NUMBER	FILING DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO/TITLE
09/451,090	11/30/1999	RAVI SANDHU	

GEORGE MASON UNIVERSITY  
4400 UNIVERSITY DRIVE  
MSN4C6  
FAIRFAX, VA 220304444



CONFIRMATION NO. 8582

ABANDONMENT/TERMINATION  
LETTER



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APR 05 2001

Date Mailed: 03/01/2001

OFFICE OF PETITIONS

A petition to the Commissioner under 37 CFR 1.137 may be filed requesting that the application be revived.

Under 37 CFR 1.137(a), a petition requesting the application be revived on the grounds of **UNAVOIDABLE DELAY** must be filed promptly after the applicant becomes aware of the abandonment and such petition must be accompanied by: (1) an adequate showing of the cause of unavoidable delay; (2) the required reply to the above-identified Notice; (3) the petition fee set forth in 37 CFR 1.17(l); and (4) a terminal disclaimer if required by 37 CFR 1.137(c).

Under 37 CFR 1.137(b), a petition requesting the application be revived on the grounds of **UNINTENTIONAL DELAY** must be filed promptly after applicant becomes aware of the abandonment and such petition must be accompanied by: (1) a statement that the entire delay was unintentional; (2) the required reply to the above-identified Notice; (3) the petition fee set forth in 37 CFR 1.17(m); and (4) a terminal disclaimer if required by 37 CFR 1.137(c).

Any questions concerning petitions to revive should be directed to "Office of Petitions" at (703) 305-9282.

*A copy of this notice **MUST** be returned with the reply.*

*R. Barnes*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER

REMAILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NO/TITLE

(19)451,090

11/30/99

SANDHU

R

RECEIVED

02/22/01 120

APR 05 2001

NOT ASKED REB

OFFICE OF PETITIONS

2756

DATE MAILED:

1/20/01

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
*Filing Date Granted*

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of  \$65.00 for a small entity in compliance with 37 CFR 1.27, or  \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a  small entity (statement filed)  non-small entity is \$ 162.00.

1. The statutory basic filing fee is:

missing.  
 insufficient.  
Applicant must submit \$ 760.00 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

2. The following additional claims fees are due:

\$ <u>342</u>	for <u>19</u>	total claims over 20.	02 FC:201	355.00 OP
			03 FC:202	200.00 OP
\$ <u>390</u>	for <u>5</u>	independent claims over 34 FC:205	04 FC:205	65.00 OP
			05 FC:203	18.00 OP

\$ \_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

3. The oath or declaration:

Is missing, or unsigned.

does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted Inventor(s), identifying this application by the above Application Number and Filing Date, is required.

6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

7. Your filing receipt was mailed in error because your check was returned without payment.

8. The application was filed in a language other than English.  
Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 1,259.00)

Complete if Known

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11/30/1999

APR 05 2001

OFFICE OF PETITIONS

Application Number 09/451,090

Filing Date 11/30/1999

First Named Inventor SANDHU

Examiner Name

Group Art Unit

Attorney Docket No. GMU-16U

## METHOD OF PAYMENT

1.  The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number	
Deposit Account Name	

Charge Any Additional Fee Required  
Under 37 CFR 1.16 and 1.17

Applicant claims small entity status.  
See 37 CFR 1.27

2.  Payment Enclosed:

Check  Credit card  Money Order  Other

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
101	710	201	355	Utility filing fee	355.00
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	

SUBTOTAL (1) (\$ 355.00)

## 2. EXTRA CLAIM FEES

Total Claims	39	Extra Claims	Fee from below	Fee Paid
Independent Claims	8	-20** = 19	x 9.00	= 19.00
Multiple Dependent		- 3** = 5	x 40.00	= 200.00

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim, if not paid
109	80	209	40	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 219.00)

\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Large Entity Code	Fee (\$)	Small Entity Code	Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	65.00
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for ex parte reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	390	216	195	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	
118	1,390	218	695	Extension for reply within fourth month	
128	1,890	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,240	241	620	Petition to revive - unintentional	620.00
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17(q)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))	
179	710	279	355	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	
Other fee (specify) _____					

\*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$ 685.00)

SUBMITTED BY	Complete if applicable		
Name (Print/Type)	David G. Grossman	Registration No. (Attorney/Agent)	42,609
Signature		Date	April 3, 2001

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

**DECLARATION FOR UTILITY OR  
DESIGN  
PATENT APPLICATION**  
(37 CFR 1.63)

Declaration Submitted with Initial Filing

OR

Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required)

Attorney Docket Number

GMU-16U

First Named Inventor

SANDHU

**COMPLETE IF KNOWN**

Application Number

09 / 451,090

Filing Date

11/30/1999

Group Art Unit

Examiner Name

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APR 05 2001

As a below named inventor, I hereby declare that:

My residence, mailing address, and citizenship are as stated below next to my name.

**OFFICE OF PETITIONS**

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**SYSTEM AND APPARATUS FOR STORAGE AND TRANSFER  
OF SECURE DATA ON WEB**

(Title of the Invention)

the specification of which

 is attached hereto

OR

 was filed on (MM/DD/YYYY) **11/30/1999** as United States Application Number or PCT InternationalApplication Number **09/451,090** and was amended on (MM/DD/YYYY) **[Redacted]** (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?
			<input type="checkbox"/>	YES      NO
			<input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/>

 Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

[Page 1 of 2]

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

**DECLARATION — Utility or Design Patent Application**

Direct all correspondence to:  Customer Number  
or Bar Code Label **28598** OR  Correspondence address below

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Name

**APR 05 2001**

Address

**OFFICE OF PETITIONS**

City

State

ZIP

Country

Telephone

Fax

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

**NAME OF SOLE OR FIRST INVENTOR :**  A petition has been filed for this unsigned inventor

Given Name  
(first and middle [if any])

Ravi

Family Name  
or Surname

Sandhu

Inventor's  
Signature3-28-2001  
Date

Residence: City

Fairfax

State

VA

USA

USA

Citizenship

Mailing Address

3507 Majestic Pine Lane

City

Fairfax

State

VA

22033

Country

USA

**NAME OF SECOND INVENTOR:**  A petition has been filed for this unsigned inventorGiven Name  
(first and middle [if any])

Joon S.

Family Name  
or Surname

Park

Inventor's  
SignatureMarch 27, 2001  
Date

Residence: City

Springfield

State

VA

USA

South Korea

Citizenship

Mailing Address

6601 Comet Circle, #201

City

Springfield

State

VA

22150

USA

Country

Additional inventors are being named on the \_\_\_\_\_ supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.